

210184US0 PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: :

RAINER DYLLICK-BRENNINGER : ATTN: NEW APPLICATION DIVISION  
ET AL

SERIAL NO: NEW US PCT APPLN :  
(Based on PCT/EP00/00535)

FILED: HERewith :

FOR: ANTIFOAMS AND/OR :  
DEAERATORS BASED ON  
OIL-IN-WATER DISPERSIONS

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Prior to examination on the merits, please amend the above-identified application as follows:

IN THE CLAIMS

Please cancel Claims 11-16.

Please amend the claims as shown in the marked-up copy to read as follows:

3. (Amended) An antifoam and/or deaerator as claimed in claim 1, wherein the hydrophobic phase contains

(a) 3-thiaalkan-1-ols, 3-thiaoxoalkan-1-ols, 3-thiadioxoalkan-1-ols, carboxylic esters of said compounds or mixtures thereof,

(b1) polyglyceryl esters which are obtainable by at least 20% esterification of polyglycerols which have at least 2 glycerol units with at least one C<sub>12</sub>- to C<sub>36</sub>- fatty acid and

FORWARDED

A/KS  
6/5/02/03

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